

COMPARATIVE POLITICS FIELD SEMINAR (GPOL 6349)

Spring 2012

Time: Thursday 4.00 – 5.50 p.m.

Location: NSSR, 6 East 16 Street, Room 716

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Introduction

This course is an advanced graduate seminar in comparative politics. It seeks to introduce students to the field through a critical survey of some of its leading concerns, scholarship and debates. It is designed to prepare Ph.D. candidates planning to take the qualifying field exam and write dissertations in comparative politics.

Comparative politics is an extraordinarily diverse field in terms of its problems, theories and methods. Substantively, its students seek to explain a range of significant real-world phenomena. These include the formation, autonomy and capacity of states; processes of modernization and democratic transition, consolidation and deepening; the origins, dynamics and impact of economic interests, social identities and political institutions; the causes, dynamics and consequences of social movements, contentious politics and social revolutions; the comparative political economy of advanced industrialization and late development; the nexus between domestic politics, international relations and forces of globalization – and many others. Theoretically, ‘comparativists’ have developed various analytical paradigms to study these phenomena, including structural, cultural and actor-oriented perspectives, including hybrid versions of these views.

Methodologically, they use a variety of approaches (informed by quantitative, qualitative and mixed research designs) to study politics at different levels of analysis (from intensive case studies and comparative analyses of a few cases to sweeping large-N studies), which reflect pragmatic research considerations as well as distinct philosophies of explanation in the human sciences.

Hence a principal aim of this seminar is to provide students with a solid foundation in the field by analyzing, debating and assessing this intellectual diversity. In particular, we will examine the advantages, shortcomings and implications of studying particular questions from different conceptual, theoretical and methodological perspectives, which often generate divergent explanations. Given the scope, complexity and depth of the field, the seminar cannot fully engage the state of play regarding any particular issue; nor does it impart in-depth empirical knowledge of any region of the world. Both are crucial pre-requisites for serious political enquiry. However, by analyzing a range of phenomena through multiple perspectives, I hope to show you the richness of the field and help you acquire a command of its significant debates with the view to crafting your own research agenda.

Requirements and Assessment

The success of the seminar depends on your active and informed participation. Being active means contributing your insights to and raising questions for discussion; being informed entails reading the material carefully. Make a note of any questions, comments and puzzles that arise in your reading of the texts and bring them to class. Your participation is worth 25% of the final grade.

You are expected to complete the assigned reading material for each week (“Required”): a mix of classic statements, important recent contributions and critical overviews. The amount of reading for each class and for the course as a whole is demanding. Hence it is important for you to schedule your time wisely from the start. For those interested in exploring a particular issue in greater depth, I have listed further works in each section (“Recommended Further Reading”). You are also strongly encouraged to keep abreast of current political events and general trends through newspapers, periodicals and other media.

In addition, you will be required to write three short papers (8 pages, 12-point font, double-spaced). The first paper must address a topic in weeks 5 through 8; the second a topic from weeks 9 through 12; the final paper from weeks 13 through 15. Papers are due at the beginning of the class in which the readings are to be discussed – no later. Each paper comprises 25% of your final grade.

Guidelines for Papers

Your paper should identify the main issues raised in the assigned readings, locate the various stands taken by the authors and critically appraise the value of their respective contributions. The following questions might be useful to bear in mind: What is the main argument of each author? What are its strengths and weaknesses in terms of intellectual coherence, the evidence marshaled to support its claims and the appropriateness of the variables, comparisons and methodology employed? How valuable, original and viable is the argument being proposed? What are the principal empirical, theoretical and methodological issues at stake? How well has the debate on each topic been resolved, or if unresolved, what kind of new evidence is necessary to resolve it? Or is it an issue that eludes such resolution, and if so, why?

Your paper should not be a mere summary of the readings but a rigorous critical assessment of the questions, premises and arguments that arise. However, remember that a critique does not necessarily mean a negative evaluation; it also implies grasping, appreciating and explicating the basis, logic and significance of a piece of scholarship or larger intellectual debate. Indeed, it is far easier to say what is dated in an argument or identify obvious lacunae than to show why it works or how it might be further developed.

Your papers will be evaluated according to their clarity of prose, grasp of the material and ability to take an encompassing view of the main empirical, theoretical and methodological issues under consideration. Strive to develop a clear organized argument.

Readings

The vast majority of material for the course will be available in electronic format through Blackboard. There are two required texts for the course, however, both of which you should purchase:

- Gary King, Robert Keohane, and Sidney Verba, *Designing Social Inquiry: Scientific Inference in Qualitative Research* (Princeton University Press, 1994)
- Henry E. Brady and David Collier (eds.), *Rethinking Social Inquiry: diverse tools, shared standards, 2nd edition* (New York: Rowman & Littlefield Publishers Ltd., 2010)

Overview of Course

Session	Date	Topic
1	January 26	Introduction
2	February 2	Quantitative approaches to causal inference
3	February 9	Qualitative approaches to causal inference
4	February 16	Mixed-method approaches to causal inference
5	February 23	The state
6	March 1	Democratic transitions and consolidation
7	March 8	Political institutions
8	March 22	Parties, elections, party systems
9	March 29	Organization of interests
10	April 5	Construction of identities
11	April 12	Collective action, social movements and contentious politics
12	April 19	Civil Wars and Social Revolutions
13	April 26	Comparative political economy of advanced industrialization
14	May 3	Comparative political economy of late development
15	May 10	Global dimensions of comparative politics

Schedule and Readings

Week 1 (January 26): Introduction

Enduring Methodological Debates

Week 2 (February 2): Quantitative approaches to causal inference

Required:

- Carl G. Hempel, "The function of general laws in history," in Michael Martin and Lee C. McIntyre (eds.), *Readings in the Philosophy of Social Science* (Cambridge, MA: The MIT Press, 1995), pp. 43-55.
- Adam Przeworski and Henry Teune, *The Logic of Comparative Social Inquiry* (New York: Wiley, 1970), pp. 17-31.
- Gary King, Robert Keohane, and Sidney Verba, *Designing Social Inquiry: scientific inference in qualitative research* (Princeton: Princeton University Press, 1994), pp. 3-149 and 208-230 (skim the rest).
- Donald P. Green and Alan S. Gerber, "Reclaiming the experimental tradition in political science," in Ira Katznelson and Helen V. Milner (eds.), *Political Science: the state of the subdiscipline* (New York: W.W. Norton & Company, 2002), pp. 805-833.
- Robert H. Bates, "From case studies to social science: a strategy for political research," in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 172-186.
- Henry E. Brady and David Collier (eds.), *Rethinking Social Inquiry: diverse tools, shared standards, 2nd edition* (New York: Rowman & Littlefield Publishers Ltd., 2010), pp. 33-64.

Recommended Further Reading:

- Milton Friedman, "The methodology of positive economics," in May Brodbeck (ed.), *Readings in the Philosophy of the Social Sciences* (New York: MacMillan, 1968), pp. 508-529.
- Barbara Geddes, "How the cases you choose determines the answers you get," *Political Analysis* 2 (1990): 131-50.
- David Collier and James Mahoney, "Insights and pitfalls: selection bias in qualitative research," *World Politics* 49 (October 1996): 56-91.
- Mark Irving Lichbach and Alan S. Zuckerman (eds.), *Comparative Politics: rationality, culture, and structure* (New York: Cambridge University Press, 1997)

Week 3 (February 9): Qualitative approaches to causal inference

Required:

- Arend Lijphart, "Comparative politics and the comparative method," *American Political Science Review* (September 1971): 682-693.

- Alasdair MacIntyre, “Is a science of comparative politics possible?” in idem, *Against the Self-Images of the Age: essays on ideology and philosophy* (New York: Schocken Books, 1978), pp. 260-284.
- Theda Skocpol and Margaret Somers, “The uses of comparative history in macrosocial inquiry,” *Comparative Studies in Society and History* 22, 2 (April 1980): 174-197.
- Paul Pierson, “Big, slow-moving, and ... invisible: macrosocial processes in the study of comparative politics,” in James Mahoney and Dietrich Rueschemeyer (eds.), *Comparative Historical Analysis in the Social Sciences* (New York: Cambridge University Press, 2003), pp. 177-207.
- Alexander L. George and Andrew Bennett, “Case studies and the philosophy of science” and “Process tracing and historical explanation” in idem, *Case Studies and Theory Development in the Social Sciences* (Cambridge, Massachusetts: MIT Press, 2005), pp. 127-150 and 205-232.
- Charles Tilly and Robert E. Goodin, “It depends,” in idem (eds.), *The Oxford Handbook of Contextual Political Analysis* (New York: Oxford University Press, 2008), pp. 3-32.
- Brady and Collier, *Rethinking Social Inquiry*, pp. 13-32 and 67-122.

Recommended Further Reading:

- Charles Taylor, “Interpretation and the sciences of man,” in Michael Martin and Lee C. McIntyre (eds.), *Readings in the Philosophy of Social Science* (Cambridge, MA: The MIT Press, 1995), pp. 181-213.
- Albert O. Hirschman, “The search for paradigms as a hindrance to understanding,” *World Politics* 22, 3 (April 1970): 329-43.
- Clifford Geertz, “Thick description: toward an interpretive theory of culture,” in idem, *The Interpretation of Cultures* (New York: Basic Books, 1973), pp. 3-33.
- Lisa Weeden, “Conceptualizing culture: possibilities for political science,” *American Political Science Review*, 96, 4 (December 2002): 713-729.
- Harry Eckstein, “Case study and theory in political science,” in Fred Greenstein and Nelson Polsby (eds.), *Handbook of Political Science*, Volume 7 (Reading: Addison-Welsey, 1975) pp. 79-139.
- Charles Ragin, *The Comparative Method: moving beyond qualitative and quantitative strategies* (Berkeley: University of California Press, 1987)
- Andrew Abbott, *Time Matters: on theory and method* (Chicago: University of Chicago Press, 2001)

Week 4 (February 16): Heterodox approaches to causal inference

Required:

- Brady and Collier, *Rethinking Social Inquiry*, pp. 123-200.
- Michael Coppedge, “Thickening thin concepts and theories: combing large N and small in comparative politics,” *Comparative Politics* 31, 4 (July 1999): 465-476.
- John Gerring, “What is a case study and what is it good for?” *American Political Science Review* 98, 2 (May 2004): 341-354.
- Evan S. Lieberman, “Nested analysis as a mixed-method strategy for comparative research,” *American Political Science Review* 99, 3 (August 2005): 435-452.

- Adam Przeworski, “Is a comparative science of politics possible?” in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 147-172.
- Peter Hall, “Aligning ontology and methodology in comparative politics,” in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences*, op. cit., pp. 373-404.
- Elizabeth Jean Wood, “Field research,” in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 123-146.
- James Mahoney, “After KKV: the new methodology of qualitative research,” *World Politics*, 62, 1 (January 2010): 120-147.

Recommended Further Reading:

- Ira Katznelson, “Periodization and preferences: reflections on purposive action in comparative historical research,” in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences*, op. cit., pp. 270-301.
- Geraldo L. Munck and Richard Snyder (eds.), *Passion, Craft, and Method in Comparative Politics* (Baltimore: The Johns Hopkins University Press, 2007)
- Jon Elster, “Rational choice history,” *American Political Science Review* 94, 3 (September 2000): 685-95.
- Robert H. Bates, Avner Grief, Margaret Levi, Jean-Laurent Rosenthal and Barry R. Weingast, “The analytic narrative project,” *American Political Science Review* 94, 3 (September 2000): 696-702.
- David D. Laitin, “The perestroika challenge to social science,” *Politics & Society* 31, 1 (March 2003): 163-184.
- Bent Flyvbjerg, “A perestroika straw man answers back: David Laitin and phronetic political science,” *Politics & Society* 32, 3 (September 2004): 389-416.

States, Regimes and Institutions

Week 5 (February 23): The origins, development and capacity of the state

Required:

- Alfred Stepan, “Liberal-pluralist, classic Marxist, and ‘organic statist’ approaches to the state,” in idem, *Arguing Comparative Politics* (Oxford: Oxford University Press, 2001), pp. 39-72.
- Timothy Mitchell, “The limits of the state: beyond state theories and their critics,” *American Political Science Review* 85 (1) 1991: 77-95.
- Charles Tilly, “Cities and states in world history,” in idem, *Coercion, Capital, and European States: AD 990-1990* (Oxford: Basil Blackwell 1990), pp. 1-37.
- Joel S. Migdal, “A model of state-society relations” and “Conclusion” in his *Strong Societies and Weak States: state-society relations and state capabilities in the Third World* (Princeton: Princeton University Press, 1988), pp. 10-42 and 269-278.
- Sudipta Kaviraj, “On state, society and discourse in India,” in James Manor (ed.), *Rethinking Third World Politics* (London: Longman, 1991), pp. 72-100.

- Miguel Centeno, "Blood and debt: war and taxation in nineteenth century Latin America," *American Journal of Sociology* 102, 6 (May 1997): 1565-1605.
- Jeffrey Herbst, *States and Power in Africa: comparative lessons in authority and control* (Princeton: Princeton University Press: 2000), pp. 11-32.
- Hendrik Spruyt, "War, trade and state formation," in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 211-236.

Recommended Further Reading:

- Quentin Skinner, "The state," in Terence Ball, James Farr and Russell L. Hanson (eds.), *Political Innovation and Conceptual Change* (Cambridge: Cambridge University Press, 1989), pp. 90 – 131.
- Otto Hintze, "The state in historical perspective," in Reinhard Bendix et al. (eds.) *State and Society* (Berkeley: University of California Press, 1973), pp. 154-69.
- Charles Tilly, "Reflections on the history of European state-making," in idem (ed.), *The Formation of National States in Western Europe* (Princeton: Princeton University Press, 1975), pp. 3-83; and "War making and state making as organized crime," in Peter Evans, Dietrich Rueschermeyer and Theda Skocpol (eds.), *Bringing the State Back In* (Cambridge University Press, 1985), pp. 169-192.
- Perry Anderson, *Lineages of the Absolutist State* (London: Verso, 1974)
- Michael Mann "The autonomous power of the state," in idem, *States, War and Capitalism* (Oxford: Blackwell Publishers, 1988), pp. 1-33.
- Gianfranco Poggi, *The State: its nature, development and prospects* (Stanford: Stanford University Press, 1990)
- James C. Scott, "Nature and space" and "Cities, people and language" in idem, *Seeing like a State* (New Haven: Yale University Press, 1998), pp. 11-83.
- Margaret Levi, *Of Rule and Revenue* (Berkeley: University of California Press, 1988)
- Stephen Krasner, "Approaches to the state: alternative conceptions and historical dynamics," *Comparative Politics* 16, 2 (January 1984): 223-246.
- George Steinmetz (ed.), *State/Culture: state formation after the cultural turn* (Ithaca, NY: Cornell University Press, 1999)
- Robert H. Jackson and Carl G. Roseberg, "Why Africa's weak states persist: the empirical and juridical in statehood," *World Politics*, 35, 1 (October 1982): 1-24.
- Robert H. Jackson, *Quasi-States: sovereignty, international relations and the third world* (Cambridge: Cambridge University Press, 1993)
- Lisa Anderson, *The State and Social Transformation in Tunisia and Libya, 1830-1980* (Princeton: Princeton University Press, 1986)
- Lisa Anderson, "The state in the Middle East and North Africa," *Comparative Politics* 20, 1 (October 1987): 1-18.
- Crawford Young, *The African Colonial State in Comparative Perspective* (New Haven: Yale University Press, 1994)
- Thomas Ertman, *Birth of the Leviathan: building states and regimes in medieval and early modern Europe* (Cambridge: Cambridge University Press, 1997)

- Philip Gorski, “Calvinism and state-formation in early modern Europe,” in George Steinmetz (ed), *State/Culture: State Formation after the Cultural Turn* (Ithaca, NY: Cornell University Press, 1999), pp. 147-181.
- David Waldner, *State-Building and Late Development* (Ithaca: Cornell University Press, 1999)
- Lisa Wedeen, *Ambiguities of Domination: politics, rhetoric, and symbols in contemporary Syria* (Chicago: University of Chicago Press, 1999)
- James Robinson, “States and Power in Africa by Jeffrey Herbst: a review essay,” *Journal of Economic Literature* 40, 2 (June 2002): 510-519.
- Miguel Angel Centeno, *Blood and Debt: war and the nation-state in Latin America* (University Park: Pennsylvania State University Press, 2003)
- Francis Fukuyama, *State-Building: governance and world order in the 21st century* (Ithaca: Cornell University Press, 2004)
- Tuong Vu, “Studying the state through state formation,” *World Politics*, 6, 1 (January 2010): 148-175.

Week 6 (March 1): Transitions from authoritarianism and the consolidation of democracy

Required:

- Robert Dahl, “Democratization and public opposition”, “Historical sequences”, “The theory” and “Postscript” in idem, *Polyarchy: participation and opposition* (New Haven: Yale University Press, 1971), pp. 1-16, 33-47, 202-207 and 208-227.
- Samuel P. Huntington, *The Third Wave: democratization in the late twentieth century* (Norman: University of Oklahoma Press, 1991), pp. 31-108.
- Juan J. Linz and Alfred Stepan, “Part I: theoretical overview” in idem, *Problems of Democratic Transition and Consolidation: southern Europe, South America, and post-communist Europe* (Baltimore: Johns Hopkins University Press, 1996), pp. 3-65.
- Guillermo O’Donnell, “Illusions about consolidation,” in Larry Diamond and Marc F. Plattner (eds.), *The Global Divergence of Democracies* (Baltimore: The Johns Hopkins University Press, 2001), pp. 113-131.
- Valerie Bunce, “Comparative democratization: big and bounded generalizations,” *Comparative Political Studies* 33, 6-7 (2000): 703-734.
- Doug McAdam, Sidney Tarrow and Charles Tilly, “Contentious democratization,” in idem, *Dynamics of Contention* (Cambridge: Cambridge University Press, 2001), pp. 264-304.
- Carlos Boix and Susan Stokes, “Endogenous democratization,” *World Politics* 55, 3 (July 2003): 517-49.
- Lisa Weeden, “Concepts and commitments in the study of democracy,” in Ian Shapiro, Rogers M. Smith and and Tarek E. Masoud, *Problems and Methods in the Study of Politics* (New York: Cambridge University Press, 2004), pp. 274-306.

Recommended Further Reading:

Definitions of modern representative democracy:

- Joseph Schumpeter, *Capitalism, Socialism, and Democracy* (New York: Harper and Brothers, 1942) pp. 269-283.
- Hanna Arendt, *The Origins of Totalitarianism* (New York: Schocken, 1951)
- Juan Linz, "Totalitarian and authoritarian regimes," in Fred Greenstein and Nelson Polsby (eds.), *Handbook of Political Science*, Volume 3 (Reading: Addison-Welsey, 1975), pp. 175-98, 264-306, 336-350.
- Juan J. Linz and Alfred Stepan (eds), *The Breakdown of Democratic Regimes* (Baltimore: Johns Hopkins University Press, 1978)
- Phillipe Schmitter and Terry Lynn Karl, "What democracy is...and what it is not," in Larry Diamond and Marc Plattner (eds.), *The Global Resurgence of Democracy* (Baltimore: Johns Hopkins University Press, 1993), pp. 39-52.
- Fareed Zakaria, "The rise of illiberal democracy," *Foreign Affairs* 76, 6 (November/December 1997): 22-43.
- Ronald Wintrobe, *The Political Economy of Dictatorship* (New York: Cambridge University Press, 1998)
- Adam Przeworski, "Minimalist conception of democracy: a defense," in Ian Shapiro and Casiano Hacker-Cordón (eds.), *Democracy's Value* (Cambridge: Cambridge University Press, 1999), pp. 23-56.
- Jennifer Gandhi, *Political Institutions under Dictatorship* (New York: Cambridge University Press, 2008)

Modernization theory:

- Daniel Lerner, *The Passing of Traditional Society* (New York: The Free Press, 1962 [1958])
- Seymour Martin Lipset, *Political Man: the social bases of politics* (Garden City, NY: Doubleday, 1960), pp. 27-63.
- Reinhard Bendix, "Tradition and modernity reconsidered," *Comparative Studies in Society and History* 9, 3 (April 1967): 292-346; reprinted in Reinhard Bendix, *Nation-building and Citizenship: studies of our changing social order – with a new introduction and bibliographic addendum by John Bendix* (New Brunswick, NJ: Transaction Publishers 1996), pp. 361-434.
- Samuel P. Huntington, *Political Order in Changing Societies* (New Haven: Yale University Press, 1968), pp. 1-92.
- Guillermo O'Donnell, "Toward an alternative conception of South American politics," in his *Modernization and Bureaucratic-Authoritarianism: studies in South American politics* (Berkeley: Institute of International Studies, University of California, [1973] 1979), pp. 51-102.
- Mark Kesselman, "The literature on political development as ideology," *World Politics* 26, 1 (October 1973): 139-154.
- J. Samuel Valenzuela and Arturo Valenzuela, "Modernization and dependency: alternative perspectives in the study of Latin American underdevelopment," *Comparative Politics* 10, 4 (July 1978): 535-557.
- Tony Smith, "Requiem or new agenda for Third World studies?" *World Politics* 37, 4 (July 1985): 532-561.

- Larry Diamond, "Economic development and democracy reconsidered," in Gary Marks and Larry Diamond (eds.), *Re-examining Democracy: essays in honor of Seymour Martin Lipset* (Newbury Park: Sage Publications, 1992), pp. 93-139.
- Dietrich Rueschemeyer, Evelyn Huber Stephens and John D. Stephens, "Advanced capitalist countries," in idem, *Capitalist Development and Democracy* (Chicago: Chicago University Press, 1992), pp. 79-155.
- Seymour Martin Lipset, "The social requisites of democracy revisited," *American Sociological Review* 59, 2 (February 1994): 1-22.
- Adam Przeworski and Fernando Limongi, "Modernization: theories and facts," *World Politics* 49, 2 (1997): 155-183.
- Ronald Inglehart and Wayne Baker, "Modernization, cultural change, and the persistence of tradition: empirical evidence from 65 societies," *American Sociological Review* 65 (February 2000): 19-51.
- Ronald Inglehart and Pippa Norris, *Rising Tide: gender equality and cultural change* (New York: Cambridge University Press, 2003), pp. 49-71.
- Ronald Inglehart and Christian Welzel, *Modernization, Cultural Change, and Democracy: the human development sequence* (New York: Cambridge University Press, 2005), pp. 1-47.

Transitions to democracy and the third wave:

- Barrington Moore, Jr., *Social Origins of Dictatorship and Democracy: lord and peasant in the making of the modern world*, with a new foreword by Edward Friedman and James C. Scott (Boston: Beacon Press, 1993)
- Guillermo O'Donnell and Philippe C. Schmitter, *Transitions from Authoritarian Rule: tentative conclusions about uncertain democracies* (Baltimore: The Johns Hopkins University Press, 1986)
- Adam Przeworski, "Transitions to democracy," in idem, *Democracy and the Market: political and economic reforms in Eastern Europe and Latin America* (New York: Cambridge University Press, 1992), pp. 51-99.
- Stephan Haggard and Steven B. Webb, "Introduction," in idem (ed.), *Voting for Reform: democracy, political liberalization and economic adjustment* (New York: Oxford University Press, 1994), pp. 1-37.
- Adam Przeworski et al., "Economic reforms in new democracies," in idem, *Sustainable Democracy* (Cambridge: Cambridge University Press, 1995), pp. 67-91.
- Guillermo O'Donnell, *Counterpoints: selected essays on authoritarianism and democratization* (University of Notre Dame Press, 1999)
- Adam Przeworski, Michael E. Alvarez, José Antonio Cheibub, and Fernando Limongi, "Economic development and political regimes," in their *Democracy and Development: political institutions and well-being in the world, 1950-1990* (Cambridge: Cambridge University Press, 2000), pp. 78-141.
- Daron Acemoglu and James A. Robinson, *Economic Origins of Dictatorship and Democracy* (Cambridge: Cambridge University Press, 2005)
- John Gerring, Philip Bond, William Barndt, and Carola Moreno, "Democracy and economic growth: a historical perspective," *World Politics*, 57, 3 (April 2005): 323-364.

- James Mahoney, “Knowledge accumulation in comparative historical research: the case of democracy and authoritarianism,” in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences*, op. cit., pp. 131-174.

*** Spring Break: March 12-17***

Week 7 (March 8): The origins, impact and evolution of political institutions

Required:

- Peter A. Hall and Rosemary Taylor, “Political science and the three new institutionalisms,” *Political Studies* 44, 5 (December 1996): 936-957.
- Arend Lijphart, *Patterns of Democracy: government forms and performance in thirty-six countries* (New Haven: Yale University Press, 1999), pp. 1-61.
- George Tsebelis, “Decisionmaking in political systems: veto players in presidentialism, parliamentarism, multicameralism, and multipartyism,” *British Journal of Political Science* 25, 3 (July 1995): 289-325.
- Paul Pierson, “Increasing returns, path dependence, and the study of politics,” *American Political Science Review* 94, 2 (June 2000): 251-267.
- Barry R. Weingast, “Rational-choice institutionalism,” in Ira Katznelson and Helen V. Milner (eds.), *Political Science: state of the discipline* (New York: Norton, 2002), pp. 660-692.
- Avner Grief and David Laitin, “A theory of endogenous institutional change,” *American Political Science Review* 98, 4 (Fall 2004): 633-652.
- Kathleen Thelen, “How institutions evolve: insights from comparative historical analysis,” in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences*, op. cit., pp. 208-240.
- Gretchen Helmke and Steven Levitsky, “Informal institutions and comparative politics,” *Perspectives on Politics* 2 (December 2004): 725-40.

Recommended Further Reading:

- Samuel P. Huntington, *Political Order in Changing Societies* (New Haven: Yale University Press, 1968)
- James March and Johan Olsen, “The new institutionalism: organizational factors in political life,” *American Political Science Review* 78, 3 (September 1984): 734-749.
- Juan Linz, “The perils of presidentialism,” *Journal of Democracy* 1, 1 (January 1990): 51-69.
- Alfred Stepan and Cindy Skach, “Constitutional frameworks and democratic consolidation: parliamentarism versus presidentialism,” *World Politics* 46, 1 (October 1993): 1-22.
- Scott Mainwaring and Matthew Soberg Shugart (eds.), *Presidentialism and Democracy in Latin America* (New York: Cambridge University Press, 1997)
- Karen L. Remmer, “Theoretical decay and theoretical development: the resurgence of institutional analysis,” *World Politics* 50, 1 (October 1997): 34-61.
- Ian S. Lustick, “Lijphart, Lakatos, and consociationalism,” *World Politics*, 50, 1 (October 1997): 88-117.

- Robert Bates et al., *Analytic Narratives* (Princeton: Princeton University Press, 1998)
- Paul Pierson and Theda Skocpol, “Historical institutionalism in contemporary political science,” in Ira Katznelson and Helen V. Milner (eds.), *Political Science: the state of the discipline* (New York: W.W. Norton, 2002), pp. 693-721.
- Gary Cox and Matthew McCubbins, *Legislative Leviathan: party government in the house* (Berkeley: University of California Press, XXX), pp. 1-16 and 83-136.
- Giovanni Capoccia and Daniel R. Kelemen, “The study of critical junctures: theory, narrative, and counterfactuals in historical institutionalism,” *World Politics*, 59, 3 (April 2007): 341-369.

Week 8 (March 22): Political parties, electoral rules and party systems

Required:

- Anthony Downs, *An Economic Theory of Democracy* (New York: Harper, 1957), pp. 96-141.
- Seymour Lipset and Stein Rokkan, “Cleavage structures, party systems and voter alignments: an introduction,” in idem, (eds.), *Party Systems and Voter Alignments: cross-national perspectives* (New York: The Free Press, 1967), pp. 1-64.
- Angelo Panebianco, *Political Parties: organization and power* (New York: Cambridge University Press, 1988), pp. xi-xviii and 1-20.
- Giovanni Sartori, “A typology of party systems,” in Peter Mair (ed.), *The West European Party System* (Oxford: Oxford University Press, 1990), pp. 316-350.
- Torben Iversen, “The logics of electoral politics: spatial, directional, and mobilizational effects,” *Comparative Political Studies* 27, 2 (July 1994): 155-189.
- Scott P. Mainwaring, *Rethinking Party Systems in the Third Wave of Democratization: the case of Brazil* (Stanford: Stanford University Press, 1999), pp. 21-60.
- Herbert Kitschelt, “Party systems,” in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 522-555.
- Rein Taagpera, “Electoral systems,” in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 678-703.

Recommended Further Reading:

- Joseph Schlesinger, “On the theory of party organization,” *Journal of Politics* 46, 2 (May 1984): 369-400.
- Peter Mair (ed.), *The West European Party System* (Oxford: Oxford University Press, 1990)
- Arend Lijphart, “The political consequences of electoral laws, 1945-85,” *American Political Science Review*, 84 (1990): 481-96.
- Herbert Kitschelt, “Formation of party cleavages in post-communist democracies,” *Party Politics* 1, 4 (1995): 447-472.
- Herbert Kitschelt, *The Logics of Party Formation: ecological parties in Belgium and West Germany* (Ithaca, NY: Cornell University Press, 1989), pp. 1-74.

- Octavio Amorim Neto and Gary Cox, "Electoral institutions, cleavage structures, and the number of parties," *American Journal of Political Science* 41, 1 (January 1997): 149-74.
- Scott Mainwaring and Timothy R. Scully, *Building Democratic Institutions: party systems in Latin America* (Stanford: Stanford University Press, 1995)
- Gary W. Cox, *Making Votes Count: strategic coordination in the world's electoral systems* (Cambridge: Cambridge University Press, 1997)
- Rein Taagepera, "The number of parties as a function of heterogeneity and electoral system," *Comparative Political Studies*, 32, 5 (August 1999): 531-548.
- Richard Gunther and Larry Diamond, "Types and functions of parties," in Larry Diamond and Richard Gunther (ed.), *Political Parties and Democracy* (Baltimore: The Johns Hopkins University Press, 2001), pp. 3-39.

Economic Interests, Social Identities and Popular Movements

Week 9 (March 29): The nature, organization and representation of interests

Required:

- Philippe Schmitter, "Still the century of corporatism?" *The Review of Politics* 36, 1 (January 1974): 85-121.
- Robert Dahl, "Pluralism revisited," *Comparative Politics* 10, 2 (January 1978): 191-203.
- Suzanne Berger, "Introduction," *Organizing Interests in Western Europe: pluralism, corporatism, and the transformation of politics* (Cambridge: Cambridge University Press, 1981), pp. 1-23.
- Erik Olin Wright, "Class and politics," in his *Interrogating Inequality: essays in class analysis, socialism and Marxism* (London: Verso, 1994), pp. 88-107.
- Herbert Kitschelt, "Linkages between citizens and politicians in democratic polities," *Comparative Political Studies* 33, 6-7 (2000): 845-879.
- Bernard Manin, Adam Przeworski and Susan C. Stokes (eds.), "Introduction" and Elections and representation," in idem (eds.), *Democracy, Accountability, and Representation* (Cambridge: Cambridge University Press), pp. 1-54.
- Leonard Wantchekon, "Clientelism and voting behavior: evidence from a field experiment in Benin," *World Politics* 55 (April 2003): 399-422.
- Bruce Curtis, "The politics of demography," in Charles Tilly and Robert E. Goodin (eds.), *The Oxford Handbook of Contextual Political Analysis* (New York: Oxford University Press, 2008), pp. 619-636.

Recommended Further Reading:

- James C. Scott, "Patron-client politics and political change in South East Asia," *American Political Science Review* 66, 1 (March 1972): 91-114.
- Theda Skocpol, Marshall Ganz, and Ziad Munson, "A nation of organizers: the institutional origins of civic voluntarism in the United States," *American Political Science Review* 94, 3 (September 2000): 527-546.

- John Aldrich, “Rational choice and turnout,” *American Journal of Political Science* 37, 1 (February 1993): 246-78.

Week 10 (April 5): The origins and impact of identities

Required:

- Clifford Geertz, “The integrative revolution: primordial sentiments and civil politics in the new states,” in idem, *The Interpretation of Cultures* (New York: Basic Books, 1973), pp. 255-311.
- Robert Bates, “Ethnic competition and modernization in contemporary Africa,” *Comparative Political Studies* 6, 4 (1974): 457-483.
- James D. Fearon and David D. Laitin, “Explaining interethnic cooperation,” *American Political Science Review* 90, 4 (December 1996): 715-735.
- Mahmood Mamdani, “Defining the crisis of postcolonial citizenship: settler and native as political identities,” in idem, *When Victims Become Killers: colonialism, nativism, and the genocide in Rwanda* (Princeton: Princeton University Press, 2002), pp. 19-40.
- Kanchan Chandra, “Ethnic parties and democratic stability,” *Perspectives on Politics* 3, 2 (June 2005): 215-33.
- Mala Htun, “Is gender like ethnicity? the political representation of identity groups,” *Perspectives on Politics* 2, 3 (August 2004): 439-458.
- Daniel Posner, “The political salience of cultural difference: why Chewas and Tumbukas are allies in Zambia and adversaries in Malawi,” *American Political Science Review* 98, 4 (2004): 529-545.
- Ashutosh Varshney, “Ethnicity and ethnic conflict,” in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 274-295.

Recommended Further Reading:

Nations and nationalism

- Ernest Gellner, *Nations and Nationalism* (Ithaca: Cornell University Press, 1994 [1983])
- Benedict Anderson, *Imagined Communities: reflections on the origin and spread of nationalism, revised edition* (London: Verso, [1983] 1991)
- Terence Ranger and Eric Hobsbawm (eds.), *The Invention of Tradition, Canto edition* (Cambridge: Cambridge University Press, [1983] 1992)
- Anthony Smith, *The Ethnic Origins of Nations* (Oxford: Blackwell, 1986; 1999)
- Eric J. Hobsbawm, *Nations and Nationalism since 1780: programme, myth, reality, 2nd Canto edition* (Cambridge: Cambridge University Press, 1992)
- Partha Chatterjee, *The Nation and its Fragments: colonial and postcolonial histories* (Delhi: Oxford University Press, 1994 [1993])
- John A. Hall, “Nationalisms, classified and explained,” in idem, *Coercion and Consent: studies on the modern state* (Cambridge: Polity Press, 1994), pp. 124-149.
- Rogers Brubaker, “Myths and misconceptions in the study of nationalism,” in John A. Hall (ed.), *The State of the Nation: Ernest Gellner and the theory of*

- nationalism* (Cambridge: Cambridge University Press, 2000 [1998]), pp. 272-307.
- Anthony Marx, *Faith in Nation: exclusionary origins of nationalism* (New York: Oxford University Press, 2003)

Language

- David D. Laitin, *Identity in Formation: the Russian-speaking populations in the near abroad* (Ithaca: Cornell University Press, 1998)
- David D. Laitin, "What is a language community?" *American Journal of Political Science* 44, 1 (January 2000): 142-155.

Religion

- David Laitin, "Hegemony and religious conflict: British imperial control and political cleavages in Yorubaland," in Peter Evans, Dietrich Rueschemeyer, and Theda Skocpol (eds.), *Bringing the State Back In* (NY: Cambridge University Press, 1985)
- Samuel P. Huntington, *The Clash of Civilizations and the Remaking of World Order* (New York: Touchstone, 1996)
- Alfred Stepan, "The world's religious systems and democracy: crafting the 'twin tolerations'" in idem, *Arguing Comparative Politics*, op. cit., pp. 213-255.

Ethnicity

- Nelson Kasfir, "Explaining ethnic political participation," *World Politics* 1 (3) 1979: 365-388.
- Donald Horowitz, *Ethnic Groups in Conflict* (Berkeley: University of California Press, 1985), pp. 21-32, 141-228 and 291-332.
- Rogers Brubaker and Frederick Cooper, "Beyond identity," *Theory and Society* 29, 1 (February 2000): 1-47.
- Ashutosh Varshney, "Ethnic conflict and civil society: India and beyond," *World Politics* 53 (April 2001): 362-98.

Race

- Anthony Marx, "Race making and the nation-state" *World Politics* 48, 2 (January 1996): 180-208.
- Juliet Hooker, "Indigenous inclusion/black exclusion: race, ethnicity, and multicultural citizenship in Latin America," *Journal of Latin American Studies* 37 (2005): 285-310.
- Courtney Jung, "Race, ethnicity, religion," *The Oxford Handbook of Contextual Political Analysis* (Oxford: Oxford University Press, 2008), pp. 360-375.

Caste and Tribe

- Bernard S. Cohn, "The census, social structure and objectification in South Asia," in his *An Anthropologist amongst the Historians and Other Essays* (Delhi and New York: Oxford University Press, [1987] 1990), pp. 224-255.
- Dirks, "Castes of mind," *Representations* 37 (Winter 1992): 56-78.
- Eric Hobsbawm and Terence Ranger, *The Invention of Tradition* (Cambridge: Cambridge University Press, 1983)

Week 11 (April 12): Collective action, social movements and the politics of contention

Required:

- Mancur Olson, *The Logic of Collective Action: public goods and the theory of groups* (Cambridge, Massachusetts: Harvard University Press, 1971), pp. 5-52.
- Frances Fox Piven and Richard A. Cloward, "The structuring of protest," in idem, *Poor People's Movements: why they succeed, how they fail* (Vintage Books, 1979), pp. 1-40.
- James C. Scott, "Normal exploitation, normal resistance," in idem, *Weapons of the Weak: everyday forms of peasant resistance* (New Haven: Yale University Press, 1985), pp. 28-47.
- Doug McAdam, John D. McCarthy and Mayer N. Zald, "Introduction," in idem (eds.), *Comparative Perspectives on Social Movements* (Cambridge: Cambridge University Press, 1996), pp. 1-20.
- Doug McAdam, Sidney Tarrow and Charles Tilly, "Lineaments of contention," in idem, *Dynamics of Contention* (Cambridge: Cambridge University Press, 2001), pp. 38-71.
- Margaret E. Keck and Kathryn Sikkink, "Transnational advocacy networks in international politics," in their *Activists Beyond Borders: advocacy networks in international politics* (Ithaca: Cornell University Press, 1998), pp. 1-39.
- Mark I. Lichbach and Helma G.E. De Vries, "Mechanisms of globalized protest movements," in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 461-497.
- Elinor Ostrom, "Collective action theory," in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 186-209.

Recommended Further Reading:

Movements in theory and practice

- Albert O. Hirschman, "Exit", "Voice", "A theory of loyalty" and "The elusive optimal mix of exit and voice" in idem, *Exit, Voice, and Loyalty: responses to decline in firms, organizations and states* (Cambridge: Harvard University Press, 1970), pp. 21-29, 30-43, 76-105 and 120-128.
- Sidney Verba, Norman Nie, and Jae-on Kim, *Participation and Political Equality: a seven nation comparison* (Cambridge: Cambridge University Press, 1978), chapters 1-7 and 14.
- Russell Hardin, *Collective Action* (Baltimore: Johns Hopkins University Press, 1982)
- Maxine Molyneux, "Mobilization without emancipation? women's interests, the state and revolution in Nicaragua," *Feminist Studies* 11, 2 (Summer 1985): XXX.
- Scott Mainwaring, "Urban popular movements, identity and democratization in Brazil," *Comparative Political Studies*, 20, 2 (1987): XXX.
- Clause Offe, "Challenging the boundaries of institutional politics: social movements since the 1960s," in Charles Maier (ed.), *Changing Boundaries of the Political* (Cambridge: Cambridge University Press, 1987), pp. 63-106.

- Martin Harrop and William Miller, *Elections and Voters* (London: Macmillan, 1987), chapter 6.
- Arend Lijphart, "Unequal participation: democracy's unresolved dilemma," *American Political Science Review*, 91 (1997): 1-14.
- Sonia Alvarez, "Latin American feminists go global: trends of the 1990's and challenges for the new millennium," in Sonia Alvarez, XXX Dagnino and Arturo Escobar (eds.), *Culture of Politics: politics of culture* (Boulder, CO: Westview, 1998), pp. XXX.
- Sidney Tarrow, *Power in Movement: social movements and contentious politics, 2nd edition* (New York: Cambridge University Press, 1999)
- Elisabeth Jean Wood, *Insurgent Collective Action and Civil War in El Salvador* (New York: Cambridge University Press, 2003)
- Tom Mertes (ed.), *A Movement of Movements: is another world really possible?* (London: Verso, 2004)
- Partha Chatterjee, *The Politics of the Governed: reflections on popular politics in most of the world* (New York: Columbia University Press, 2004)
- Deborah Yashar, *Contesting Citizenship in Latin America: the rise of indigenous movements and the postliberal challenge* (New York: Cambridge University Press, 2005)

Civil society and the public sphere

- John A. Hall (ed.), *Civil Society: history, theory, comparison* (Cambridge: Polity Press, 1995)
- Sunil Khilnani and Sudipta Kaviraj (eds.), *Civil Society: history and possibilities* (Cambridge: Cambridge University Press, 2001)
- Jean L. Cohen and Andrew Arato, *Civil Society and Political Theory* (Cambridge: MIT Press, 1994 [1992])
- Craig Calhoun (ed.), *Habermas and the Public Sphere* (Cambridge: MIT Press, 1992)
- Jean-Francois Bayart, "Civil society in Africa," in Patrick Chabal (ed.), *Political Domination in Africa: reflections on the limits of power* (Cambridge: Cambridge University Press, 1996), pp. 109-126.
- Mahmood Mamdani, "Introduction: thinking through Africa's impasse," in his *Citizen and Subject: contemporary Africa and the legacy of late colonialism* (Princeton: Princeton University Press, 1996), pp. 4-34.

Week 12 (April 19): Civil Wars and Social Revolutions

Required:

- John Dunn, *Modern Revolutions: an introduction to the analysis of a political phenomenon* (Cambridge: Cambridge University Press, 1990), pp. 1-24.
- James Scott, *The Moral Economy of the Peasant: rebellion and subsistence in Southeast Asia* (New Haven: Yale University Press, 1976), pp. 1-55.
- Samuel L. Popkin, *The Rational Peasant: the political economy of rural society in Vietnam* (Berkeley: University of California Press, 1979), pp. 243-269.
- Theda Skocpol, *States and Social Revolutions* (New York: Cambridge University Press, 1979), pp. 3-43.

- Timothy Kuran, "Now out of never: the element of surprise in the east European revolution of 1989," *World Politics*, 44, 1 (October 1991): 7-48.
- Jeff Goodwin, *No Other Way Out: states and revolutionary movements, 1945-1991* (New York: Cambridge University Press, 2000), pp. 3-64.
- Jack A. Goldstone, "Toward a fourth generation of revolutionary theory," *The Annual Review of Political Science*, 4, 1 (2001): 139-87.
- Doug McAdam, Sidney Tarrow and Charles Tilly, "Revolutionary trajectories," in idem, *Dynamics of Contention* (Cambridge: Cambridge University Press, 2001), pp. 193-226.

Recommended Further Reading:

On revolution:

- Eric R. Wolfe, *Peasant Wars of the Twentieth Century* (New York: Harper and Row, 1969)
- Joel Migdal, *Peasants, Politics and Revolution* (Princeton: Princeton University Press, 1974)
- Jeffrey Paige, *Agrarian Revolution: social movements and export agriculture in the underdeveloped world* (New York: The Free Press, 1975)
- Terry Boswell and William Dixon, "Marx's theory of rebellion: a cross-national analysis of class exploitation, economic development, and violent revolt," *American Sociological Review*, 58, 5 (October 1993): 681-702.
- E.P. Thompson, *The Making of the English Working Class* (New York: Vintage Books, 1966)
- E.P. Thompson, "The moral economy of the English crowd in the eighteenth century," *Past and Present*, 50, 1 (1971): 76-136.
- James C. Scott, *Weapons of the Weak: everyday forms of peasant resistance* (New Haven: Yale University Press, 1986)
- James C. Scott, *Domination and the Arts of Resistance: hidden transcripts* (New Haven: Yale University Press, 1990)
- Timothy Mitchell, "Everyday metaphors of power," *Theory and Society*, 19, 5 (1990): 545-577.
- Ivo Banac (ed), *Eastern Europe in Revolution* (Ithaca: Cornell University Press, 1992)
- Theda Skocpol (ed), *Social Revolutions in the Modern World* (New York: Cambridge University Press, 1997)
- Mark Beissinger, *Nationalist Mobilization and the Collapse of the Soviet Union* (New York: Cambridge University Press, 2002)
- Jack Goldstone (ed), *Revolutions: theoretical, comparative and historical studies, 3rd edition* (Wadsworth Publishing, 2002)
- Jack Goldstone, "Comparative historical analysis and knowledge accumulation in the study of revolutions," in James Mahoney and Dietrich Rueschemeyer (eds.), *Comparative Historical Analysis in the Social Sciences* (New York: Cambridge University Press, 2003)

On civil war:

- Paul Collier and Anke Hoeffler, "On economic causes of civil war," *Oxford Economic Papers*, 50, 4 (1998): 563-573.

- Elisabeth Jean Wood, *Insurgent Collective Action and Civil War in El Salvador* (New York: Cambridge University Press, 2003)
- Paul Collier and Anke Hoeffler, "Greed and grievance in civil war," *Oxford Economic Papers*, 56, 4 (2004): 563-595.
- Paul Collier and Nicholas Sambanis (eds), *Understanding Civil War* (Washington, DC: The World Bank, 2005)
- Stathis Kalyvas, *The Logic of Violence in Civil War* (Cambridge: Cambridge University Press, 2006)
- Stathis N. Kalyvas, "Civil wars," in Carles Boix and Susan C. Stokes (eds.), *The Oxford Handbook of Comparative Politics* (Oxford: Oxford University Press, 2007), pp. 416-435.
- Jeremy Weinstein, *Inside Rebellion: the politics of insurgent violence* (New York: Cambridge University Press, 2007)
- Carles Boix, "Economic roots of civil wars and revolutions," *World Politics*, 60, 3 (April 2008): 390-437.

Comparative Politics, International Relations and Global Political Economy

Week 13 (April 26): Comparative political economy of advanced industrialization

Required:

- David Cameron, "The expansion of the public economy: a comparative analysis," *American Political Science Review* 72 (December 1978): 1243-1261.
- Gosta Esping-Andersen, "Introduction" and "The three political economies of the welfare state" in idem, *The Three Worlds of Welfare Capitalism* (Princeton: Princeton University Press, 1990), pp. 9-54.
- Peter Swensen, "Bringing capital back in, or social democracy reconsidered: employer power, cross-class alliances, and the centralization of industrial relations in Denmark and Sweden," *World Politics* 43, 4 (July 1991): 513-544.
- Jonas Pontusson, "From comparative public policy to political economy: putting political institutions in their place, and taking interests seriously," *Comparative Political Studies*, 28, 1 (April 1995): 117-148.
- Peter A. Hall and David Soskice, "Introduction to the varieties of capitalism," in idem (eds.), *Varieties of Capitalism: the institutional foundations of comparative advantage* (Oxford: Oxford University Press, 2004 [2001]), pp. 1-68.
- Isabela Mares, "Social protection around the world: external insecurity, state capacity, and domestic political cleavages," *Comparative Political Studies* 38, 6 (August 2005): 623-651.
- Margarita Estevez-Abe, "Gendering the varieties of capitalism: a study of occupational segregation by sex in advanced industrial societies," *World Politics* 59, 1 (October 2006): 142-75.
- Kathleen Thelen, "The political economy of business and labor in the developed democracies," in Katznelson and Milner (eds.), *Political Science*, op. cit., pp. 371-397.

Recommended Further Reading:

Political economy of welfare

- Karl Polanyi, “The hundred years’ peace” and “Conservative twenties, revolutionary thirties” in idem, *The Great Transformation* (Boston: Beacon Press, 2001 [1957]), pp. 3-30.
- T.H. Marshall, “Citizenship and social class,” in his *Class, Citizenship and Social Development* (New York: Doubleday, 1976 [1963]), pp. 65-123.
- Andrew Shonfield, *Modern Capitalism: the changing balance of public and private power* (Oxford: Oxford University Press, 1965)
- Peter J. Katzenstein, *Small States in World Markets: industrial policy in Europe* (Ithaca, NY: Cornell University Press, 1985), chapters 1 (pp. 17-38) and 5 (pp. 191-211).
- Peter Hall, *Governing the Economy: The Politics of State Intervention in Britain and France* (New York: Oxford University Press, 1986)
- Paul Pierson, *Dismantling the Welfare State? Reagan, Thatcher, and the politics of retrenchment* (Cambridge University Press, 1995)
- Carles Boix, *Political Parties, Growth and Equality: conservative and social democratic economic strategies in the world economy* (Cambridge: Cambridge University Press, 1998)
- Herbert Kitschelt, Peter Lange, Gary Marks and John D. Stephens (eds.), *Continuity and Change in Contemporary Capitalism* (Cambridge: Cambridge University Press, 1999)
- Torben Iversen, Jonas Pontusson, and David Soskice (eds.), *Unions, Employers, and Central Bankers: wage bargaining and macroeconomic regimes in an integrating Europe* (Cambridge: Cambridge University Press, 2000)
- Isabela Mares, *The Politics of Social Risk: Business and Welfare State Development* (Cambridge: Cambridge University Press, 2003)
- Stephan Haggard and Robert R. Kaufman, “Toward a political economy of social policy,” in idem, *Development, Democracy, and Welfare States: Latin America, East Asia, and Eastern Europe* (Princeton: Princeton University Press, 2008), pp. 1-24.
- Bob Hanké (ed.), *Debating Varieties of Capitalism: a reader* (Oxford: Oxford University Press, 2009)

Business cycles, electoral politics and economic policy

- Theodore Lowi, “American business, public policy, case studies, and political theory,” *World Politics* 16 (July 1964): 677-715.
- Mancur Olson, *The Rise and Decline of Nations: economic growth, stagflation, and social rigidities* (Princeton: Princeton University Press, 1982)
- Peter Lange and Geoff Garrett, “The politics of growth: strategic interaction and economic performance in the advanced industrial economies,” *Journal of Economic History* 47 (1985): 792-827.
- Alberto Alesina, “Politics and business cycles in industrial democracies,” *Economic Policy* 8 (1989): 57-97.
- Ronald Rogowski, *Commerce and Coalitions: how trade affects domestic political alignments* (Princeton: Princeton University Press, 1989)

- Peter A. Hall, "Policy paradigms, social learning and the state: the case of economic policymaking in Britain," *Comparative Politics* 25, 3 (1993): 275-96.
- Alberto Alesina and Nouriel Roubini, with Gerald Cohen, *Political Cycles and the Macroeconomy* (Cambridge, MA: MIT Press, 1997), chapters 1, 6, 7, and 10.
- Torsten Persson and Guido Tabellini, *Political Economics: explaining economic policy* (Cambridge, MA: MIT Press, 2000)
- Robert J. Franzese, Jr., "Electoral and partisan cycles in economic policies and outcomes," *Annual Review of Political Science* 5 (2002) 369-421.
- James E. Alt, "Comparative political economy: credibility, accountability and institutions," in Katznelson and Milner (eds.), *Political Science*, op. cit., pp. 147-171.
- Torben Iversen and Frances Rosenbluth, "The political economy of gender: explaining cross-national variation in household bargaining, divorce, and the gender voting gap," *American Journal of Political Science* 50, 1 (January 2006): 1-19.

Week 14 (May 3): Comparative political economy of late development

Required:

- Alexander Gerschenkron, "Economic backwardness in historical perspective," in idem, *Economic Backwardness in Historical Perspective: a book of essays* (Cambridge: Harvard University Press, 1962), pp. 5-30.
- Fernando Henrique Cardoso and Enzo Faletto, *Dependency and Development in Latin America* (Berkeley: University of California Press, 1979), pp. vii-xxv and 1-28.
- Robert H. Bates, "Governments and agricultural markets in Africa," in his *Toward a Political Economy of Development: a rational choice perspective* (Berkeley: University of California Press, 1988), pp. 331-359.
- Douglass C. North, "Institutions and economic growth: an historical introduction," *World Development* 17, 9 (1989): 1319-1332.
- James C. Scott, "Introduction" and "Compulsory villagization in Tanzania: aesthetics and miniaturization" in idem, *Seeing like a State* (New Haven: Yale University Press, 1998), pp. 1-8 and 223-261.
- Charles Gore, "The rise and fall of the Washington consensus as a paradigm for developing countries," *World Development* 28, 5 (2000): 789-804.
- Atul Kohli, "Introduction" and "Conclusion" in idem, *State-Directed Development: political power and industrialization in the global periphery* (Cambridge: Cambridge University Press, 2004), pp. 1-24 and 367-426.
- James Mahoney et al., "Colonialism and development: a comparative analysis of Spanish and British colonies," *American Journal of Sociology* 111, 5 (March 2006): 1412-1462.

Recommended Further Reading:

Dependency and World Systems Theories

- Andre Gunder Frank, "The development of underdevelopment," *Monthly Review*, 18, 4 (September 1966): 17-30.

- Immanuel Wallerstein, “The rise and future demise of the world capitalist system: concepts for comparative analysis,” *Comparative Studies in Society and History*, 16, 4 (1974): 387-415.
- Samir Amin, *Unequal Development: an essay on the social formation of peripheral capitalism* (New York: Monthly Review Press, 1976)
- Gabriel Palma, “Dependency: a formal theory of underdevelopment or a methodology for the analysis of concrete situations of underdevelopment?” *World Development*, 6 (7/8) 1978: 881-924.
- Immanuel Wallerstein, *The Capitalist World-Economy* (New York: Cambridge University Press, 1979)
- Peter B. Evans, *Dependent Development: the alliance of multinational, state and local capital in Brazil* (Princeton: Princeton University Press, 1979)
- Albert O. Hirschman, “The rise and decline of development economics,” in his *Essays in Trespassing: economics to politics and beyond* (Cambridge: Cambridge University Press, 1981), pp. 1-24.
- Martin Carnoy, “The dependent state,” in his *The State and Political Theory* (Princeton: Princeton University Press, 1984), pp. 172-208.
- Giovanni Arrighi, *The Long Twentieth Century* (New York: Verso, 1994)

Neoclassical Political Economy

- James M. Buchanan, Robert D. Tollison, and Gordon Tullock (eds.), *Toward a Theory of the Rent-Seeking Society* (College Station: Texas A & M University, 1980)
- I.M.D. Little, *Economic Development: theory, policy and international relations* (New York: Basic Books, 1982)
- Deepak Lal, *The Poverty of Development* (Cambridge: Harvard University Press, 1985)
- T.N. Srinivasan, “Neoclassical political economy, the state and economic development,” in Corbridge, *Development – Volume I*, op. cit., pp. 368-390.
- Peter Bauer, “Development economics: a retrospective view,” in Corbridge, *Development – Volume I*, op. cit., pp. 353-368.
- Anne Krueger, “Government failures in development,” *Journal of Economic Perspectives* 4, 3 (Summer 1990): 9-25.
- John Williamson, “Democracy and the Washington consensus,” *World Development*, 21, 8 (August 1993): 1329-1336.
- Paul Streeten, “Markets and states: against minimalism,” *World Development*, 21, 8 (1993): 1281-1298.
- John Toye, *Dilemmas of Development: the counter-revolution in development theory and policy*, 2nd edition (Oxford: Blackwell, 1993)
- Rodrik, Dani, “Understanding economic policy reform,” *Journal of Economic Literature* 34 (March 1996): 9-41.
- Joseph Stiglitz, “More instruments and broader goals: moving towards the post-Washington consensus,” *The 1998 Wider Lecture*, Helsinki, Finland.
- William Easterly and Ross Levine, “Africa’s growth tragedy: policies and ethnic divisions,” *Quarterly Journal of Economics*, 112 (4) November 1997: 1203-1250.

Post-development

- Arturo Escobar, "The problematization of poverty: the tale of three worlds and development," in his *Encountering Development: the making and unmaking of the third world* (Princeton: Princeton University Press, 1995), pp. 21-55.
- James Ferguson, "The anti-politics machine" and "Epilogue," in his *The Anti-Politics Machine: 'development,' depoliticization, and bureaucratic power in Lesotho* (Minneapolis: University of Minnesota Press, 1994), pp. 257-278 and 279-289.
- Arun Agrawal, "Poststructural approaches to development: some reflections," *Peace and Change*, 21 (4) 1996: 464-477.
- Stuart Corbridge, Glyn Williams, Manoj Srivastava and Rene Veron, *Seeing the State: governance and governmentality in rural India* (Cambridge: Cambridge University Press, 2005), pp. 250-274.
- Susan Buck-Morris, *Dreamworld and Catastrophe: the passing of mass utopia in East and West* (Cambridge, MA: MIT Press, 2000)
- Stuart Corbridge, "Beneath the pavement only soil': the poverty of post-development," *Journal of Development Studies* 34 (6) 1998: 138-149.
- David Lehmann, "An opportunity lost: Escobar's deconstruction on development," *Journal of Development Studies*, 33 (4) April 1997: 568-578.

Developmental states

- Chalmers Johnson, *MITI and the Japanese Miracle: the growth of industrial policy, 1925-1975* (Stanford: Stanford University Press, 1982)
- Frederic Deyo (ed.), *The Political Economy of the New Asian Industrialism* (Ithaca: Cornell University Press, 1987)
- Joseph Stiglitz, "Economic organization, information and development," in H. Chenery and T.N. Srinivasan (eds.), *Handbook of Development Economics: Volume 1* (Amsterdam: North-Holland, 1988), pp. 39-71.
- Alice H. Amsden, *Asia's Next Giant: South Korea and late industrialization* (New York: Oxford University Press, 1989)
- Peter Evans, "Predatory, developmental and other apparatuses: a comparative political economy perspective on the third world state," *Sociological Forum*, 4 (4) December 1989: 561-587.
- Robert Wade, *Governing the Market: economic theory and the role of government in East Asian industrialization* (Princeton: Princeton University Press, 1990)
- Stephan Haggard, *Pathways from the Periphery: the politics of growth in newly industrializing countries* (Ithaca: Cornell University Press, 1990) pp. 23-48.
- Paul Krugman, "The myth of Asia's miracle," *Foreign Affairs*, 73 (6) November/December 1994; available at: <http://web.mit.edu/krugman/www/myth.html>
- Adrian Leftwich, "Governance, the state and the politics of development," *Development and Change*, 25 (1) January 1994: 363-386.
- Peter Evans, *Embedded Autonomy: states and industrial transformation* (Princeton: Princeton University Press, 1995)
- Yilmaz Akyuz, Ha-Joon Chang and Richard Kozul-Wright, "New perspectives on East Asian development," *Journal of Development Studies*, 34 (6) 1998: 4-36.

- Meredith Woo-Cummings (ed.), *The Developmental State* (Ithaca: Cornell University Press, 1999)
- Vivek Chibber, *Locked in Place: state-building and late industrialization in India* (Princeton 2003)

Institutions

- Douglass C. North and Barry Weingast, “Constitutions and commitment: evolution of institutions governing public choice in seventeenth century England,” *Journal of Economic History* 49, 4 (December 1989): 803-832.
- Mancur Olson, “Dictatorship, democracy and development,” *American Political Science Review* 87, 3 (September 1993): 567-576.
- Catherine Boone, “States and ruling classes in postcolonial Africa: the enduring contradictions of power,” in Joel Migdal, Atul Kohli and Vivienne Shue (eds.), *State Power and Social Forces: domination and transformation in the third world* (Cambridge: Cambridge University Press, 1994), pp. 108-143.
- Martin Doornbos, “‘Good governance’: the rise and decline of a policy metaphor?” *Journal of Development Studies*, 37 (6) August 2001.
- Dani Rodrik, “Institutions for high-quality growth: what they are and how to acquire them,” *Studies in Comparative International Development*, 35 (3) Fall 2000: 3-32.
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